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November 18, 2002

From: Chalynda Ragan

Matter No.: 402956

To:	Company:	Fax Number:	Phone Number:
Necholus Ogden, Jr.	U.S.P.T.O.	703-872-9310	703-308-3732

Number of Pages Transmitted (including this cover sheet): 32

Message: Per your telephone conversation with Mr. Goetz, attached please find a copy of the Response to Office Action Dated January 24, 2002, along with the associated paperwork filed on July 22, 2002.

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/801,883
		Filing Date	March 8, 2001
		First Named Inventor	Gerbrand Deetman
		Group Art Unit	1751
		Examiner Name	Necholus Ogden, Jr.
Total Number of Pages in This Submission	123	Attorney Docket Number	402956

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Exhibits A-H, Certificate of Mailing and Return Post Card
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kenneth D. Goetz LATHROP & GAGE LC
Signature	<i>Kenneth D. Goetz</i>
Date	July 22, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 22, 2002	
Typed or printed name	Wendy Precht
Signature	<i>Wendy Precht</i>
Date	July 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status.**TOTAL AMOUNT OF PAYMENT (\$)** 1004**Complete If Known**

Application Number	09/801,883
Filing Date	March 8, 2001
First Named Inventor	Gerbrand Deetman
Examiner Name	Nicholas Ogden, Jr.
Group / Art Unit	1751
Attorney Docket No.	402856

METHOD OF PAYMENT (check one)
☐ Check ☐ Credit card ☐ Money ☐ Other
☒ Deposit Account
Deposit
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Number

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Deposit
Account
Name

LATHROP & GAGE LC

The Commissioner is authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments
☐ Charge Any Additional Fee Required during pendency of this application
☐ Charge Fee(s) indicated below, except for the filing fee to the above-identified deposit account
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	0	18	0
Independent Claims	4	1	84
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Release independent claims over original patent
110	18	210	9	** Release claims in excess of 20 and over original patent

SUBTOTAL (2) (\$84)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	60	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	160	126	160	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
145	740	245	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

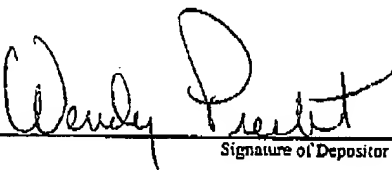
(\$920)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Kenneth D. Goetz	Registration No. Attorney/Agent	32,698	Telephone	(818) 460-5849
Signature	<i>Kenneth D. Goetz</i>	Date	July 22, 2002		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Gerbrand Deetman			Matter No. 402956
Serial No. 09/810,883	Filing Date March 8, 2001	Examiner Necholus Ogden, Jr.	Group Art Unit 1751
Invention Stabilized Phosphate Ester-Based Functional Fluid Compositions			
<p>I hereby certify that this Transmittal Form (1 page); Fee Transmittal For FY 2002 (1 page in duplicate); Petition For Extension of Time (1 page in duplicate); Revocation of Power of Attorney or Authorization of Agent (1 page); Power of Attorney or Authorization of Agent (1 page); Response to Office Action Dated January 24, 2002 (23 pages); Exhibits A-H (92 pages); authorization to charge Deposit Account No. 12-0600 in the amount of \$920.00 for the extension fee and \$84.00 for one independent claims; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box FEE AMENDMENT, Commissioner for Patents, Washington, D.C. 20231 on this 22 day of July, 2002.</p>			
Wendy Precht Name of Depositor			
 Signature of Depositor			
EV 008783365 US Express Mail Label No.			

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